

David Andrew D'Zmura  
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#28/Supplemental  
Amendment  
Leyman  
3/31/03

February 14, 2003

Assistant Commissioner for Patents  
United States Patent and Trademark Office  
Washington, D. C. 20231

Attn: Examiner Jeffrey Pwu  
Group Art Unit 3628

via Fax: (703) 746-5566

re: Patent Application: No. 09/489,739  
Sole Applicant/Sole Inventor: David Andrew D'Zmura (pro se independent inventor)  
Agent/Attorney: None  
My Reference: None  
Group Art Unit: 3628  
Examiner: Mr. Jeffrey Pwu

FAX RECEIVED

MAR 28 2003

**Supplemental Amendment**

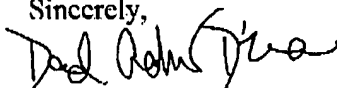
**GROUP 3600**

Dear Examiner Pwu:

Thank you for speaking with me yesterday with regard to my application, #09/489,739. I appreciated your comments with respect to my file, and I am taking advantage of your offer to provide a revised set of claims under this Supplemental Amendment. The set of claims attached hereto address the concerns you raised regarding the unity and functionality of my claims pending for your examination, pursuant to my elected group of inventions, Group I. Also, I have reduced the number of independent claims contained within this attached set.

This attached set of claims, numbered claim 39 through claim 51, replace the pending set of claims, numbered claim 26 through claim 38. I look forward to hearing back from you.

Sincerely,

  
David Andrew D'Zmura

**OFFICIAL**

enc. set of claims, numbered claim 39 through claim 51  
filing receipts, documenting my 11/1996 priority #60/030,085 on Group I inventions

**CORRECTED FILING RECEIPT**

\*OC000000005109125\*


**UNITED STATES DEPARTMENT OF  
COMMERCE  
Patent and Trademark Office**

 Address: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/489,739	01/21/2000	2768	384	—	6	12	4

 David Andrew D'Zmura  
64 West 82nd Street Apt 5F  
New York, NY 10024

Date Mailed: 05/11/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

David Andrew D'Zmura, New York, NY ;

**Continuing Data as Claimed by Applicant**
 THIS APPLN CLAIMS BENEFIT OF 60/030,085 11/05/1996 ✓  
 WHICH CLAIMS BENEFIT OF 60/117,261 01/26/1999  
 WHICH CLAIMS BENEFIT OF 60/117,260 01/26/1999  
 WHICH CLAIMS BENEFIT OF 60/127,512 04/02/1999
**Foreign Applications**

If Required, Foreign Filing License Granted 03/27/2000

**\*\* SMALL ENTITY \*\*****Title**

Invention in Finance

**Preliminary Class**

705

Data entry by : SMALLWOOD, EAON

Team : OIPE

Date: 05/11/2000

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66 67 68 69 70 71 72 73 74 75 76 77 78 79 80 81 82 83 84 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99 100



## UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/489,739	01/21/2000	3628	787		6	12	4

David Andrew D'Zmura  
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CONFIRMATION NO. 6203  
REPLACEMENT FILING RECEIPT

OC000000008622816

Date Mailed: 08/14/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

David Andrew D'Zmura, New York, NY; *I live in Palm Desert CA now*

## Domestic Priority data as claimed by applicant

*60/030,083 11/05/1996*

THIS APPLN CLAIMS BENEFIT OF 60/117,260 01/26/1999  
AND CLAIMS BENEFIT OF 60/117,261 01/26/1999  
AND CLAIMS BENEFIT OF 60/127,512 04/02/1999

## Foreign Applications

If Required, Foreign Filing License Granted 03/27/2000

Projected Publication Date: Not Applicable, filed prior to November 29, 2000

Non-Publication Request: No

Early Publication Request: No

**\*\* SMALL ENTITY \*\***

## Title

Invention in Finance

## Preliminary Class